



Grants.gov Release 15.3 Applicant Release Notes

Version 2

Production Deployment: October 15-17, 2016

Training Deployment: October 17-19, 2016

Summary

- Applicant Enhancements
- R&R Forms Renewal Update
- User Acceptance Testing (UAT)
- User Impacts
- Learn more about Workspace

APPLICANT ENHANCEMENTS

GRANTOR WEB SERVICES FOR VALIDATION AND IMAGE (NIH ONLY)

Preview Grantor Validation

Invoke Agency web service to preview Grantor errors and warnings (available only for NIH opportunities with R15.3)

MANAGE MY WORKSPACE



ISN-ISNCTR-13-002 – PKG23498075
Global Biosecurity Engagement Activities
Department of State
Bureau of International Security and Nonproliferat

Workspace ID: 234567
Workspace has AOR
Workspace Owner: Jane Applicant

Workspace Status: In Progress
Last Submitted Date: Mar 17, 2015
SAM Expiration Date: Jul 08, 2015

Opening Date: Dec 06, 2014
Closing Date: Dec 05, 2016

- FORMS
- PARTICIPANTS
- ACTIVITY
- DETAILS
- GRANTOR IMAGE
- PREVIEW GRANTOR VALIDATION**

Click Request Grantor Validation button to find out what submission errors and warnings you would receive from the grant-making agency if you submitted your application package in its current state.

- Grants.gov allows one request per Workspace to be active at a time.
- **The status shown does not automatically update. Use the Refresh Status button to get the current status.**
- We appreciate your patience while your request is being processed.

DISCLAIMER: This optional feature uses an error checking service provided by the Grantor agency. It has no impact on your ability to submit your application package. Click the help icon for more details.

Preview Validation Requests:					Request Grantor Validation	Refresh Status
Requestor	Status	Results	Requested Date/Time	Completed Date/Time	Actions	
Joe Applicant	Request in Progress	---	Mar 10, 2016 12:27:53 PM EDT	---	View	
Joe Applicant	Request Completed	3 Errors/2 Warnings	Mar 10, 2016 11:27:52 AM EDT	Mar 10, 2016 11:27:52 AM EDT	View	

Preview Errors and Warnings

Below is a preview of the errors and/or warnings that you will receive if you submit this application on to the funding agency. In order for the agency to accept your application for further evaluation, you must correct all errors and submit the error-free application by the due date.

- **Need assistance?** Click Export Data button to save Errors/Warnings and Contact the agency's [eRA Service Desk](#)
- **Having trouble that threatens your on-time submission?** Read the agency's [Guidelines for Applicants Experiencing System Issues](#)
- **Want to know more?** [Learn How the Agency Checks Your Application for Completeness](#)

Please enter criteria and click Search:

Form Name: Severity:

Errors and Warnings:

Form Name	Severity	Description
Research & Related Budget [V1.3]	Warning	Be sure that you have complied with the allowable Direct Cost limitations for this FOA. Applications that do not comply with these instructions may be delayed or not accepted for review. (020.52.2)
Research & Related Budget [V1.3]	Error	For Oldest budget for Budget Period 1, the Additional Equipment attachment cannot be provided unless all 10 Equipment item entries are used. (020.24.1)
Project/Performance Site Location(s) [V2.0]	Error	Applications from foreign organizations must use the R&R Budget form. (018.0.2)
Project/Performance Site Location(s) [V2.0]	Error	The Protection of Human Subjects attachment is required if the response to the Human Subjects question on the Other Project Information is "Yes". (010.6.1)

Grantor Image

Invoke Agency web service to generate a Grantor Image of the Workspace forms (available only for NIH opportunities with R15.3)

MANAGE MY WORKSPACE



ISN-ISNCTR-13-002 – PKG23498075
Global Biosecurity Engagement Activities
Department of State
Bureau of International Security and Nonproliferation

Application Filing Name: DOS ISN GBEA I [Edit Name]

Workspace ID: WS01234567

Workspace Status: In Progress

Opening Date: Dec 06, 2014

AORStatus: Workspace has AOR

Last Submitted Date: Mar 17, 2015

Closing Date: Dec 05, 2016

Workspace Owner: Jane Applicant

SAM Expiration Date: Jul 08, 2015

FORMS

PARTICIPANTS

ACTIVITY

DETAILS

GRANTOR IMAGE

PREVIEW GRANTOR ERRORS

Click Request Grantor Image button to view the full application package, with attachments, exactly as the grant-making agency will see it.

- Grants.gov allows one request per Workspace to be active at a time.
- **The status shown does not automatically update. Use the Refresh Status button to get the current status.**
- We appreciate your patience while your request is being processed.

DISCLAIMER: This optional feature uses a Grantor Image service provided by the Grantor agency. It has no impact on your ability to submit your application package. Click the help icon for more details.

Available Grantor Image:

Request Grantor Image

Refresh Status

Requestor	Status	Results	Requested Date/Time	Completed Date/Time	Actions
Joe Applicant	Request in Progress	---	Mar 10, 2016 12:27:53 PM EDT	---	Download
Joe Applicant	Request Completed	Image Available to Download	Mar 10, 2016 11:27:52 AM EDT	Mar 10, 2016 11:27:52 AM EDT	Download

REUSE WORKSPACE FORMS

Reuse Workspace Forms

- Allow applicants to search for Workspace forms and copy them to populate corresponding forms in the current Workspace
 - Uses current reuse functionality without applicant needing to download it from one Workspace and upload it to another Workspace
 - Feature available to all Workspace participants, Expanded AOR and EBiz POC
 - Applicants can continue to download/upload from another workspace within their DUNS or another DUNS



Reuse Workspace Forms

Search through matching Workspace forms to which you have access

WORKSPACE
PKG23498075
 Management Activities

Application Filing Name: DOS ISN GBEA I [Edit Name]
 Workspace ID: WS01234567
 AORStatus: Workspace has AOR
 Workspace Owner: Jane Applicant

Workspace Status: In Progress
 Last Submitted Date: Mar 17, 2015
 SAM Expiration Date: Jul 08, 2015

Opening Date: Dec 06, 2014
 Closing Date: Dec 05, 2016

Security and Nonproliferat

ACTIVITY DETAILS GRANTOR IMAGE PREVIEW GRANTOR VALIDATION

Preview Application Forms Check Application Complete and Notify AOR Delete

Application Package Forms – Users are encouraged to follow anti-virus best practices when Downloading Instructions and Forms: [Download Instructions »](#)

Package	Form Name (Click to Preview)	Requirement	Form Status	Upload Count	Last Uploaded Date/Time	Locked By	Actions
<input checked="" type="checkbox"/>	SF-424 (R&R) [V2.0]	Mandatory	Passed	3	Jul 31, 2015 03:38:02 PM EDT	---	Lock Download Upload Reuse
<input checked="" type="checkbox"/>	Research and Related Budget [V1.3]	Optional	In Progress [Locked]	2	Jul 31, 2015 03:38:02 PM EDT	Joe Q. Applicant	Lock Download Upload Reuse
<input type="checkbox"/>	Lock Download Upload Reuse
<input type="checkbox"/>	Lock Download Upload Reuse
<input type="checkbox"/>	Lock Download Upload Reuse

REUSE WORKSPACE FORM
 ISN-ISNCTR-13-002 – PKG23498075
 Global Biosecurity Engagement Activities
 Department of State
 Bureau of International Security and Nonproliferat

Application Filing Name: ...
 Workspace ID: WS01234567
 Workspace Owner: Jane Applicant

Select SF-424 (R&R) [V2.0] form to reuse. Please enter criteria and click Search:

Workspace ID:

Funding Opportunity Number:

Last Uploaded Date: From: 01/10/2013 To: 01/10/2013

Workspace Status: Submitted

Application Filing Name:

Results:

Workspace ID	Application Filing Name	Workspace Status	Funding Opportunity Number	Agency Code	Form Status	Last Uploaded Date/Time ↓	Actions
WS01234566	Filing Name 1	Submitted	SGA-DFA-PY-11-11-A	DOS	Passed	03/13/2016 03:38:02 PM EDT	Preview Select
WS01234567	Filing Name 2	Submitted	SGA-DFA-PY-11-11-A	DOS	Passed	03/12/2016 03:38:02 PM EDT	Preview Select
WS23456780	Filing Name 3	In Progress	N62473-12-R-FBBATS-B	DOS	In Progress	03/10/2016 03:38:02 PM EDT	Preview Select
WS012345682	Filing Name 4	Submitted	USDA-NIFA-AFRI-003742-C	DOS	Passed	03/09/2016 03:38:02 PM EDT	Preview Select
WS23456783	Filing Name 5	Submitted	RMA-TS-2012-E	DOS	Passed	03/08/2016 03:38:02 PM EDT	Preview Select
WS01234584	Filing Name 6	In Progress	SGA-DFA-PY-11-11-F	DOS	In Progress	03/07/2016 03:38:02 PM EDT	Preview Select
WS23456785	Filing Name 7	Submitted	N62473-12-R-FBBATS-B	DOS	Passed	03/06/2016 03:38:02 PM EDT	Preview Select
WS01234586	Filing Name 8	In Progress	USDA-NIFA-AFRI-003742-C	DOS	In Progress	03/05/2016 03:38:02 PM EDT	Preview Select
WS23456787	Filing Name 9	Submitted	RMA-TS-2012-E	DOS	Passed	03/04/2016 03:38:02 PM EDT	Preview Select
WS23456789	Filing Name 10	In Progress	SGA-DFA-PY-11-11-F	DOS	In Progress	03/03/2016 03:38:02 PM EDT	Preview Select

Select form to reuse (no need to Download/Upload)

Cancel

PROMOTE WORKSPACE USAGE

View Grant Opportunity – Package Tab

Public User

Option 1: Apply Now Using Workspace

Login to Apply Now »

Workspace is our enhanced application submission feature, which helps organizations and individuals create, complete, and submit grant applications.

Want to learn how to get started? [Click here.](#)

Option 2: Download Legacy Application Package

The legacy application package continues to be available for a limited time ([see details](#)). Please [click here to learn how to get started with Workspace](#).

To use the legacy application method, use the buttons below:

Download Instructions »

Download Package »

Highlight Workspace Feature

Logged in Applicant

Option 1: Apply Now Using Workspace

*Application Filing Name:

Global Bio Forms

Create Workspace »

Workspace is our enhanced application submission feature, which helps organizations and individuals create, complete, and submit grant applications.

Want to learn how to get started? [Click here.](#)

Option 2: Download Legacy Application Package

The legacy application package continues to be available for a limited time ([see details](#)). Please [click here to learn how to get started with Workspace](#).

To use the legacy application method, use the buttons below:

Download Instructions »

Download Package »

Phasing out older, single PDF application package of forms

APPLICANT S2S ENHANCEMENTS

S2S Retrieve Opportunity Package ID

- New Applicant web service “Get Opportunity Expanded” based on Get Opportunity Plus Comp Title that will return the following additional element:
 - Opportunity Package ID

R&R FORMS RENEWAL UPDATE

R&R Renewal Status Summary

Key Dates

- By mid/end September: Plan to receive approval from OMB (estimated Expiration Date: 09/30/2019)
- Forms below dependent on R15.3
 - Planned in Staging environment: 09/26/2016
 - Planned in Training environment: 10/18/2016
- Planned Production deployment: 10/31/2016

Form Name	Status
R & R Multi-Project Subaward Budget Attachment(s) Form 10YR 30ATT	New version 1.1 – Dependent on R15.3 *
R & R Subaward Budget Attachment(s) Form	New version 1.4 – Dependent on R15.3 *
R & R Subaward Budget Attachment(s) Form 10 YR 10 ATT	New version 1.4 – Dependent on R15.3 *
R & R Subaward Budget Attachment(s) Form 10 YR 30 ATT	New version 1.4 – Dependent on R15.3 *
R & R Subaward Budget Attachment(s) Form 5 YR 30 ATT	New version 1.4 – Dependent on R15.3 *
RR FedNonFed Budget	New version 1.2 – In Training Environment **
Research & Related Budget	New version 1.4 – In Training Environment **
Research & Related Budget 10YR	New version 1.4 – In Training Environment **
Research & Related Multi-Project 10 Year Budget	New version 1.1 – In Training Environment **
Research & Related Personal Data	Remains 1.2 – Expiration date will be updated upon OMB approval
Research & Related Senior/Key Person Profile	Remains 1.1 – Expiration date will be updated upon OMB approval
Research & Related Subaward Budget (Total Fed + Non-Fed) 10 YR 10 ATT	New version 1.3 – Dependent on R15.3 *
Research & Related Subaward Budget (Total Fed + Non-Fed) 10 YR 30 ATT	New version 1.3 – Dependent on R15.3 *
Research & Related Subaward Budget (Total Fed + Non-Fed) 5 YR 30 ATT	New version 1.3 – Dependent on R15.3 *
Research & Related Subaward Budget (Total Fed + Non-Fed) Attachment(s) Form	New version 1.3 – Dependent on R15.3 *
Research And Related Other Project Information	Remains 1.3 – Expiration date will be updated upon OMB approval
Research and Related Senior/Key Person Profile (Expanded)	Remains 2.0 – Expiration date will be updated upon OMB approval
SBIR/STTR Information	New version 1.2 – In Training Environment **
SF-424 R&R Multi-Project Cover	Remains 1.0 – Expiration date will be updated upon OMB approval
SF424 (R & R)	Remains 2.0 – Expiration date will be updated upon OMB approval

Please use following links for latest information on planned R&R forms changes:

- * <http://training.grants.gov/web/grants/forms.html> (Schemas are available in Training Planned Forms Grid but not ready for testing)
- ** <http://training.grants.gov/web/grants/forms/r-r-family.html> (Forms are available for testing in Training environment)

Planned Forms Grid

Grid is displayed in Training Environment

PLEASE NOTE: The forms listed under "Planned Forms" are brand new forms planned for future use or form versions that are currently in development. Once a form has been loaded and is available for testing, it will display in the forms repository under the respective form family. The status for forms in progress is updated every Tuesday.
Reminder: The forms in this table (including their PDF, Schema, DAT) are subject to changes until they are deployed to production.

Planned Forms:

Export Data

Agency Owner	▲ Form Name	Adobe Form	Form Schema	Data Analysis	GG Version	OMB Number	OMB Expiration	Target Production Date	In Progress Status
Grants.gov	R & R Multi-Project Subaward Budget Attachment(s) Form 10YR 30ATT	PDF*	Schema	DAT	1.1	4040-0001	06/30/2016		Testing
Grants.gov	R & R Subaward Budget Attachment(s) Form	PDF*	Schema	DAT	1.4	4040-0001	06/30/2016		Testing
Grants.gov	R & R Subaward Budget Attachment(s) Form 10 YR 10 ATT	PDF*	Schema	DAT	1.4	4040-0001	06/30/2016		Testing
Grants.gov	R & R Subaward Budget Attachment(s) Form 10 YR 30 ATT	PDF*	Schema	DAT	1.4	4040-0001	06/30/2016		Testing
Grants.gov	R & R Subaward Budget Attachment(s) Form 5 YR 30 ATT	PDF*	Schema	DAT	1.4	4040-0001	06/30/2016		Testing
Grants.gov	Research & Related Subaward Budget (Total Fed + Non-Fed) 10 YR 10 ATT	PDF*	Schema	DAT	1.3	4040-0001	06/30/2016		Testing
Grants.gov	Research & Related Subaward Budget (Total Fed + Non-Fed) 10 YR 30 ATT	PDF*	Schema	DAT	1.3	4040-0001	06/30/2016		Testing
Grants.gov	Research & Related Subaward Budget (Total Fed + Non-Fed) 5 YR 30 ATT	PDF*	Schema	DAT	1.3	4040-0001	06/30/2016		Testing
Grants.gov	Research & Related Subaward Budget (Total Fed + Non-Fed) Attachment(s) Form	PDF*	Schema	DAT	1.3	4040-0001	06/30/2016		Testing

Note: This change has been implemented in the Training environment.

<http://training.grants.gov/web/grants/forms.html>

R&R Renewals

- Budget form changes
 - Add new sum calculation field to add Line I and Line J
 - Add new sum calculation in Cumulative Budget Section
 - New schema version
- Budget forms affected
 - R&R Renewal: Research & Related Budget V1.4
 - R&R Renewal: Research & Related Budget 10YR V1.4
 - R&R Renewal: RR FedNonFed Budget V1.2
 - R&R Renewal: R & R Subaward Budget Attachment(s) Form V1.4
 - R&R Renewal: R & R Subaward Budget Attachment(s) Form 10 YR 10 ATT V1.4
 - R&R Renewal: R & R Subaward Budget Attachment(s) Form 5 YR 30 ATT V1.4
 - R&R Renewal: Research & Related Subaward Budget (Total Fed + Non-Fed) 10 YR 30 ATT V1.3
 - R&R Renewal: Research & Related Subaward Budget (Total Fed + Non-Fed) 5 YR 30 ATT V1.3
 - R&R Renewal: R & R Subaward Budget Attachment(s) Form 10 YR 30 ATT V1.4
 - R&R Renewal: R & R Multi-Project Subaward Budget Attachment(s) Form 10YR 30ATT V1.1
 - R&R Renewal: Research & Related Multi-Project 10 Year Budget V1.1
 - R&R Renewal: Research & Related Subaward Budget (Total Fed + Non-Fed) 10 YR 10 ATT V1.3
 - R&R Renewal: Research & Related Subaward Budget (Total Fed + Non-Fed) Attachment(s) Form V1.3

R&R Renewals (Cont.)

- SBIR/STTR Information V1.2
 - Mandatory field: “* Agency to which you are applying (select only one)” DOE, HHS, USDA, Other
 - Mandatory field: “* SBC Control ID:”
 - Change section heading “SBIR/STTR Type” to “* Application Type (select only one)”
 - Add new checkboxes to mandatory Application Type question:
 - Change language in Application Type section to “(See agency-specific instructions to determine whether a particular agency participates in Fast-Track, Second/Sequential, Direct Phase II, or Commercialization Pilot Plan.)”
 - Add mandatory “* Application Type (select only one)” Field
 - Add mandatory yes/no question: “* 1c. Is your small business majority owned by venture capital operating companies, hedge funds, or private equity firms?”
 - Add mandatory yes/no question: “* 1d. Is your small business a Faculty or Student-Owned entity?”
 - Update Disclosure Statement and language for commercialization plan
 - Update business rule for commercialization plan to: Required, ONLY if the answer to the Application Type field (field #D-1) is Phase I and DOE is checked for “Agency to which you are applying” (field #A-1 above), or if Phase II or Fast-Track is selected for Application Type field (field #D-1 above). Otherwise this field is optional.
 - Update heading for STTR Specific Questions: Questions 10 - 12 apply only to STTR applications. If you are submitting ONLY an SBIR application, leave questions 10 - 12 blank.
 - Add mandatory field: “* 12. Provide DUNS Number of non-profit research partner for STTR.”
 - New schema version

R&R Renewals (Cont.)

- Other R & R Renewal forms for OMB Expiration update (without schema changes)
 - Research and Related Personal Data
 - Research & Related Other Project Information
 - Research & Related Senior/Key Person Profile
 - Research & Related Senior/Key Person Profile (Expanded)
 - SF424 (R&R)
 - SF424 (R&R) Multi Project Cover

USER ACCEPTANCE TESTING

User Acceptance Testing (UAT)

- UAT - 09/26/2016 to 10/05/2016
- To sign up or if you have any questions, please send an email to:
 - To: Shouming.Li@hhs.gov
 - CC: GrantsGov.UAT@BPSconsulting.com
- Please provide the following information when you sign up:
 - Participant contact information
 - Type of user (Applicant UI, Applicant S2S, Agency UI, Agency S2S)
 - Participation (confirmed or tentative)
- Guidelines will be provided before the testing begins. Users are encouraged to do ad-hoc testing as well and inform Grants.gov on what was tested.

SUMMARY OF USER IMPACTS

Upcoming Changes with User Impact

Affected Users	Change Description
Effective Date: June 30, 2017	
Grantor, Applicant, Grantor S2S, Applicant S2S	<p>Enhanced XML Extract to include Forecast available June 18, 2016. Old and new formats available until June 30, 2017.</p> <ul style="list-style-type: none"> • Users of XML Extract should transition to using the new format
Effective Date: December 2017	
Grantor, Applicant	<p>Legacy PDF Application Package will be phased out in December 2017.</p> <ul style="list-style-type: none"> • Applicants will no longer be able to apply using the older, single PDF application package of forms. • Applicants will apply for grants using Grants.gov Workspace, which separates the application package into individual forms. Applicants will apply by creating a workspace, completing the individual PDF forms, and submitting their application workspace package. • Please review the Grants.gov Notices page for up-to-date information regarding upcoming changes: http://www.grants.gov/web/grants/outreach/grants-gov-notices.html

LEARN MORE ABOUT WORKSPACE

Learn more about Workspace

- For more information about Grants.gov Workspace, please visit our various Workspace resources:
- Grants.gov Workspace Overview:
 - <http://www.grants.gov/web/grants/applicants/workspace-overview.html>
- Grants.gov Workspace Training Video Series:
 - <https://www.youtube.com/playlist?list=PLNSNGxQE7NWlibdjPYGOsZaG-ol0pBsx3>
- Grants.gov Community Blog articles on Workspace:
 - <https://blog.grants.gov/category/grants-gov-workspace/>